

## **GX2 Spread Markets, LLC**

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### **GX2 Spread Markets Business Continuity**

GX2 Spread Markets LLC (“Spread Markets”) has developed a Business Continuity Plan (“BCP”) that includes the ability to recover from situations including, but not limited to floods, power outages and any facilities failures that may cause business interruptions. Key features of the Spread Markets BCP include annual review of the following;

- 1) Identification of all mission critical systems (and system back-up and recovery for such systems),
- 2) Review of financial and operation risks,
- 3) systems and telecommunication accessibility, and
- 4) alternate communications between Spread Markets, its clients, employees and regulators and critical business constituents.

Although no contingency plan can eliminate all risk of service interruption, Spread Markets continually assesses and updates its BCP to mitigate all reasonable risks. While the specific details of our BCP are proprietary and contain information which is confidential, Spread Market’s policy is to respond to a significant business disruption by safeguarding employee lives and firm property, making a financial and operational assessment, quickly recovering and resuming operations, protecting Spread Market’s books and records, and allowing Spread Market’s clients to continue to transact business. As we continue to test our plans and as conditions in our firm and the industry change, we will revise the plan accordingly and as considered necessary.